

### JC02 Rec'd PCT/PTO 16 JUN 200

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

88888

In re Application of: Helmut Kinder

unassigned

Serial No .:

10 / 533,776

Date Filed:

May 4, 2005

Title: Device and Method for the

Evaporative Deposition of a

Coating Material

Mail Stop DD Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

Group Art Unit:

Examiner:

unassigned

Atty Docket No.:

B31293US

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#### TRANSMITTAL LETTER

Enclosed for filing relating to the above-identified application are the following documents:

- Х Information Disclosure Statement;
- Х Form PTO-1449; and
- Х Return-receipt postcard.

No fee is believed to be due with the submission of this document; however, the Commissioner is hereby authorized to charge Deposit Account No. 01-2511 for any underpayment of the fees.

Respectfully submitted,

JUNE 13, 2005

Raymond R. Ferrera, Esq. USPTO Registration No. 47,559

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Houston, Texas 77057 Tel: (713) 972-1150 (713) 972-1180

Fax: ATTORNEY FOR APPLICANT In re Application of: Helmut Kinder

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#### INFORMATION DISCLOSURE STATEMENT

Dear Sir

Submitted herewith are patents, publications or other information of which the undersigned is aware, which he believes may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56 it is not intended to constitute an admission that any patent, publication or other information referred to herein is in fact "material" or "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

This Information Disclosure Statement is filed within three months after the filing date of a national application, within three months of the date of entry of the national stage as set forth in §1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever event occurs last. 37 CFR 1.97(b).

A list of the patent(s) and/or publication(s) is set forth on the attached Form PTO-1449. A copy of each item listed on PTO-1449 is not supplied herewith. A concise explanation of the items listed on PTO-1449 is given.

The person making this statement is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,

June 13,2005

Raymond R. Ferrera, Esq. USPTO Registration No. 47,559 ARNOLD & FERRERA, L.L.P. 2401 Fountainview, Suite 630 Houston, Texas 77057 Tel: (713) 972-1150 Fax: (713) 972-1180

ATTORNEY FOR APPLICANT

PTO/SB/08A (08-03)

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Sheet 1

				DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 of Associati</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/RB/		US- 5,254,832	10-19-1993	Gartner et al.	
/RB/		US- 4,381,894	05-03-1983	Gogol, Jr. et al.	
/RB/		us- 3,654,109	04-04-1972	J.H. Hohl et al.	
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	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	70		
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (# known)	MM-DD-YYYY		Or Relevant Figures Appear			
/RB/		WO 98/22635	05-28-1998	Micron				
/RB/		DT 25 13 813 A1	01-02-1976	Airco, Inc.				
/RB/		EP 01108364	04-25-1989	Ishikawajima				
7RB/ <del>/RB/</del>		EP 01108363		Ishikawajima				
		EP 61003880	01-09-1986	Taiyo Yuden				
/RB/		EP 01264114	10-20-1989	Sumitomo		Г		

Examiner Signature	/Richard Bueker/	Date Considered	11/03/2008
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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known			
Application Number	10/533,776		
Filing Date	May 4, 2005		
First Named Inventor	Helmut Kinder		
Art Unit	unknown		
Examiner Name	unknown		
Attorney Docket Number	B31293US		

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FOREIGN PATENT DOCUMENTS							
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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	۳	
/RB/		EP 09095775	04-08-1997	Chugai Ro Co			
/RB/		EP 11086647	03-30-1999	Fujikura Ltd.			
/RB/		JP 9-95775				L	
/HB/		JP 61-195968					
/RB/		JP 61-3880					
/RB/		JP 2002-97566					

Examiner Signature	/Richard Bueker/	Date Conside	red 11/03/2008

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		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	
/RB/		JP 2002-294479				Г
/RB/		DE 196 80 845	06-06-2002	Theva		
/HB/		EP 0282839 B1	09-21-1988	International		
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Examiner Signature	/Richard Bueker/	Date Considered	11/03/2008

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					Examiner Name	unknown
Sheet	3		of	4	Attorney Docket Number	B31293US

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of				
/RB/		SOON-GUL LEE et al., Deposition angle-dependent morphology of laser deposited YBa2Cu3O7 thin films, 320 Applied Physics Letters, 65(1994) 8 August, No.6, Woodbury, NY, US.				
/RB/		MATTHEW F. DAVIS et al., Electron beam flash evaporation for YBaCuO and BiCaSrCuO thin films, 931 Journal of Applied Physics, 66(1989) 15 November, no. 10, NY, US.				
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1	Examiner	/Richard Bueker/	Date	11/03/2008

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